

IN THE UNITED STATES DISTRICT COURT
FOR THE NORTHERN DISTRICT OF CALIFORNIA

EDGAR W. TUTTLE, ERIC BRAUN, THE
BRAUN FAMILY TRUST, and WENDY
MEG SIEGEL, on behalf of themselves
and all others similarly situated,

No. C 10-03588 WHA

NOTICE

Plaintiffs,

v.

SKY BELL ASSET MANAGEMENT, LLC,
et al.,

Defendants.

Be prepared to answer these questions based on the existing record. Do *not* try to
supplement:

- Was Eric Braun a qualified investor?
- If not, did he ever represent otherwise?
- What does the caselaw hold as to whether it even matters?
- Many people hold investments in an IRA account. What difference should it make
that Mr. Braun held this investment via an IRA account?

- Claims 3–5 are not asserted against Rothstein Kass by the Night Watch “class.”
How can these claims now be included as class claims against Rothstein Kass?

IT IS SO ORDERED.

Dated: October 17, 2011.



WILLIAM ALSUP
UNITED STATES DISTRICT JUDGE